

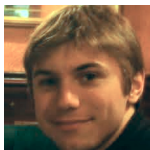
# Deductive Program Verification

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*shall I be pure or impure?*

*shall I be pure or impure?*



## a question for program verifiers

*shall I be pure or impure?*



## a question for program verifiers

*shall I be pure or impure?*



## goal

no model of the heap  
to get simpler VCs

## solution

records with mutable fields  
+  
static control of aliases



## mutable variables aka references

```
type ref 'a = {  
  mutable contents: 'a;  
}
```

## we can model some data structures

e.g. arrays

```
type array 'a = private {  
    mutable ghost elts: int -> 'a;  
    length: int;  
}
```

## we can nest mutable types

e.g. a heap in a resizable array

```
type heap = {  
  mutable data: array elt;  
  mutable size: int;  
  mutable ghost view: bag elt;  
}
```

the type checker is powerful enough to let you replace  
the `data` field while keeping track of aliases

[ESOP 2013]

there are mutable DS you cannot implement  
(e.g. linked lists, mutable trees)

yet you can **model them** easily

then you can verify client code, thanks to proof modularity

```
type elem
val make : unit -> elem
val union: elem -> elem -> unit
val find : elem -> elem
val same : elem -> elem -> bool
```

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```

(x)

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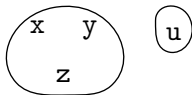
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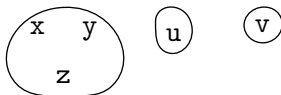
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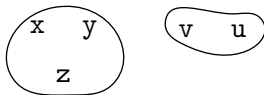
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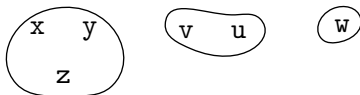
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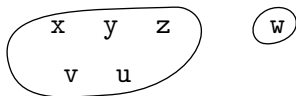
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val make : unit -> elem
val union: elem -> elem -> unit
val find : elem -> elem
val same : elem -> elem -> bool
```



```
type elem
```

```
type uf = {  
  mutable dom: set elem;  
  mutable rep: elem -> elem;  
}
```

```
val ghost create () : uf  
val make (ghost uf: uf) () : elem  
val union (ghost uf: uf) (x y: elem) : unit  
val find (ghost uf: uf) (x : elem) : elem  
val same (ghost uf: uf) (x y: elem) : bool
```



## WhyML features

- polymorphism
- algebraic data types, pattern matching
- exceptions, `break`, `continue`, `return`
- ghost code and ghost data [CAV 2014]
- contracts, loop and type invariants
- VCGen = either traditional or Flanagan/Saxe style WP

goal

rich enough to make your life easier,  
simple enough to be sent to ATPs

## goal

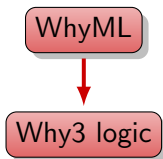
rich enough to make your life easier,  
simple enough to be sent to ATPs

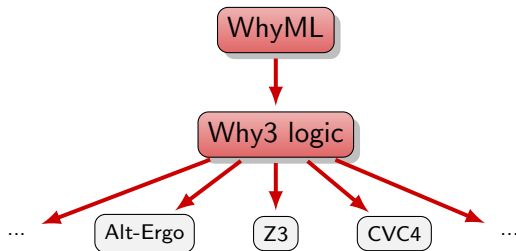
## our solution

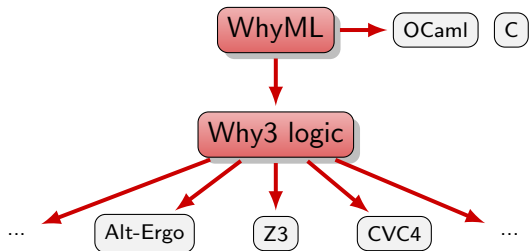
a total, polymorphic first-order logic with

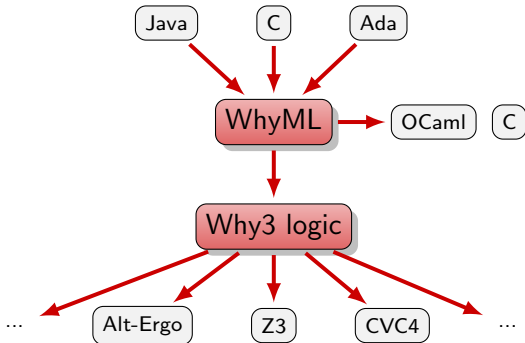
- algebraic types & pattern matching
- recursive definitions
- (co)inductive predicates
- mapping type  $\alpha \rightarrow \beta$ ,  $\lambda$ -notation, application

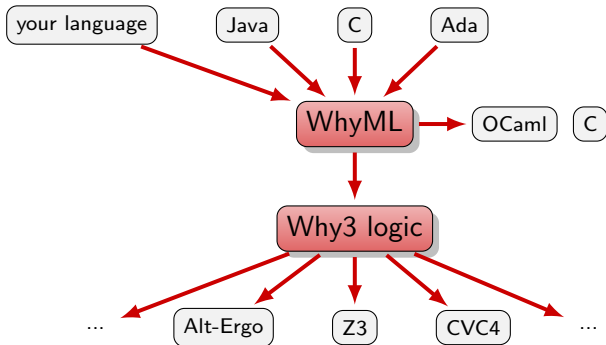
[FroCos 2011, CADE 2013, VSTTE 2014]



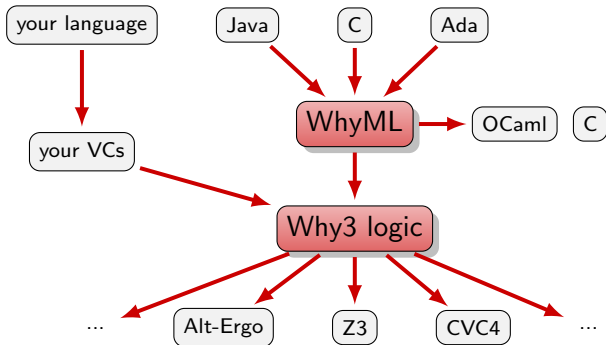












Why3 currently supports 25+ ITPs and ATPs

for each prover, a special “driver” file controls

[Boogie 2011]

- logical transformations to apply
- input/output format
- predefined symbols, axioms to be removed

```
printer "smtv2"
valid   "^unsat"
invalid "^sat"

transformation "inline_trivial"
transformation "eliminate_builtin"
transformation "eliminate_definition"
transformation "eliminate_inductive"
transformation "eliminate_algebraic"
transformation "simplify_formula"
transformation "discriminate"
transformation "encoding_smt"

prelude "(set-logic AUFNIRA)"

theory BuiltIn
  syntax type int "Int"
  syntax type real "Real"
  syntax predicate (=) "(= %1 %2)"
end
...
```

demo

---

union-find

joint work with S. Melo de Sousa, M. Pereira, and M. Clochard

```
type elem
type uf = ...
val ghost create () : uf
val make (ghost uf: uf) () : elem
val union (ghost uf: uf) (x y: elem) : unit
val find (ghost uf: uf) (x : elem) : elem
val same (ghost uf: uf) (x y: elem) : bool
```

```
type elem
```

```
type uf = {  
  mutable dom: set elem;  
  mutable rep: elem -> elem;  
}  
invariant { forall x. mem x dom ->  
             mem (rep x) dom && rep (rep x) = rep x }
```

```
val ghost create () : uf  
  ensures { result.dom = empty }
```

```
val make (ghost uf: uf) () : elem
  writes { uf.dom, uf.rep }
  ensures { not (mem result (old uf.dom)) }
  ensures { uf.dom = add result (old uf.dom) }
  ensures { uf.rep = (old uf.rep)[result <- result] }
```

```
val find (ghost uf: uf) (x: elem) : elem
  requires { mem x uf.dom }
  ensures { result = uf.rep x }
```

```
val union (ghost uf: uf) (x y: elem) : ghost elem
  requires { mem x uf.dom }
  requires { mem y uf.dom }
  writes   { uf.rep }
  ensures  { result = old (uf.rep x) ||
            result = old (uf.rep y) }
  ensures  { forall z. mem z uf.dom ->
            uf.rep z = if old (uf.rep z = uf.rep x ||
                               uf.rep z = uf.rep y)
                        then result
                        else old (uf.rep z) }
```



```
type elem =  
  content ref  
  
and content =  
  | Link of elem  
  | Root of int
```

```
type elem =  
  content ref
```

```
and content =  
  | Link of elem  
  | Root of int
```

x 0

```
type elem =  
  content ref
```

```
and content =  
  | Link of elem  
  | Root of int
```

x

y

```
type elem =  
  content ref
```

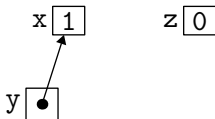
```
and content =  
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  | Root of int
```

x 0

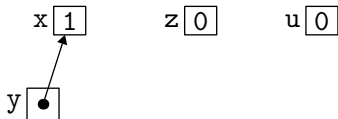
y 0

z 0

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type elem =  
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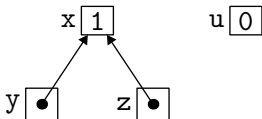


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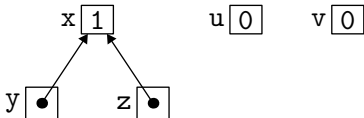


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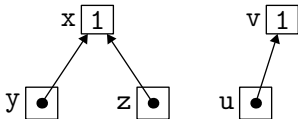


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type elem =  
  content ref  
  
and content =  
  | Link of elem  
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```

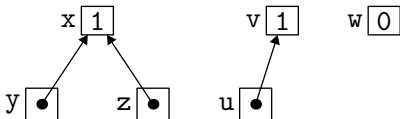




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  content ref  
  
and content =  
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```

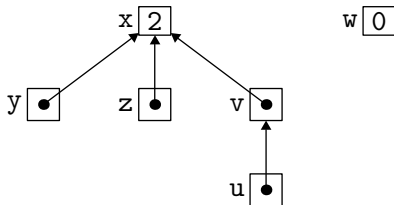


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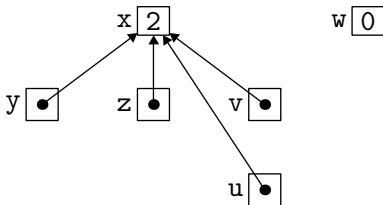


```
type elem =  
  content ref
```

```
and content =  
  | Link of elem  
  | Root of int
```



```
type elem =  
  content ref  
  
and content =  
  | Link of elem  
  | Root of int
```



let's verify this with Why3

too complex for Why3's type checker; let's model the heap

```
type elem =  
  content ref  
and content =  
  | Link of elem  
  | Root of int
```

```
type loc
```

```
type elem =  
  loc  
type content =  
  | Link loc  
  | Root Peano.t
```

```
type heap = {  
  ghost mutable  
    refs: loc -> option content;  
}
```



it would be very tempting to introduce an inductive notion of path

```
inductive path (h: heap) (x y: elem) =  
| Path0: forall x y k.  
    h.refs x = Some (Root k) ->  
    path h x x  
| Path1: forall x y z.  
    h.refs x = Some (Link y) ->  
    path h y z -> path h x z
```

this way, we would have `path heap x (rep x)` as an invariant  
and this would ensure the termination of `find`

but this is a bad idea, as each assignment in the heap requires you to re-establish all paths (some unchanged, some shortened, etc.)

instead, we assign

- a distance to each node, increasing along `Link`
- a maximum distance for the whole union-find structure

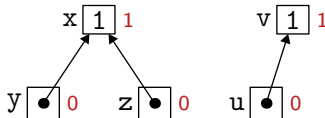


but this is a bad idea, as each assignment in the heap requires you to re-establish all paths (some unchanged, some shortened, etc.)

instead, we assign

- a distance to each node, increasing along **Link**
- a maximum distance for the whole union-find structure

$\text{maxd} = 1$

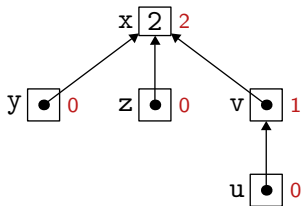


but this is a bad idea, as each assignment in the heap requires you to re-establish all paths (some unchanged, some shortened, etc.)

instead, we assign

- a distance to each node, increasing along **Link**
- a maximum distance for the whole union-find structure

$\text{maxd} = 2$

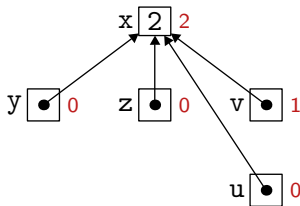


but this is a bad idea, as each assignment in the heap requires you to re-establish all paths (some unchanged, some shortened, etc.)

instead, we assign

- a distance to each node, increasing along **Link**
- a maximum distance for the whole union-find structure

$\text{maxd} = 2$



## Why3 extraction mechanism

1. removes ghost code
2. maps some Why3 symbols to OCaml symbols

here

- type `Peano.t` is mapped to OCaml's type `int`
- our custom mini-heap is mapped to OCaml's references

Charguéraud & Pottier did a Coq proof  
of a similar OCaml code, using CFML

[ITP 2015, JAR 2017]

- includes a proof of complexity!
- maps OCaml's type `int` to Coq's type `Z` (unsound)
- more than 4k lines

## 1. modeling the heap can be easy

- ▶ can be local
- ▶ incurs a small TCB

## 2. avoid recursive/inductive definitions for better automation

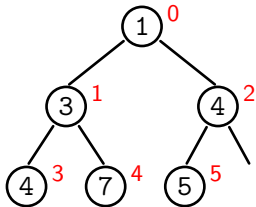
two other examples:

- ▶ heap stored in an array
- ▶ inverting a permutation in-place

# heap stored in an array

a 

0	1	2	3	4	5	...
1	3	4	4	7	5	...

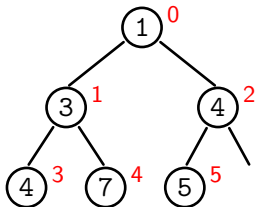


## heap stored in an array

a 

1	3	4	4	7	5
---	---	---	---	---	---

 ...



it would be tempting to introduce trees

but a universal, local invariant

$$\forall i. a[i] \leq a[2i + 1], a[2i + 2]$$

is all you need



## inverting a permutation in-place

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

4	3	0	1	5	2
---	---	---	---	---	---

## inverting a permutation in-place

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

4	3	0	1	5	-1
---	---	---	---	---	----

## inverting a permutation in-place

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

4	3	-6	1	5	-1
---	---	----	---	---	----

## inverting a permutation in-place

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

-3	3	-6	1	5	-1
----	---	----	---	---	----

## inverting a permutation in-place

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

-3	3	-6	1	-1	-1
----	---	----	---	----	----

## inverting a permutation in-place

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

-3	3	-6	1	-1	4
----	---	----	---	----	---

## inverting a permutation in-place

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

-3	3	-6	1	0	4
----	---	----	---	---	---

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Algorithm I in TAOCP [Sec. 1.3.3, page 176]

-3	3	-6	-1	0	4
----	---	----	----	---	---



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-3	-4	-6	-1	0	4
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-3	-4	-6	1	0	4
----	----	----	---	---	---

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

-3	-4	5	1	0	4
----	----	---	---	---	---

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

-3	3	5	1	0	4
----	---	---	---	---	---

## inverting a permutation in-place

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

2	3	5	1	0	4
---	---	---	---	---	---

Algorithm I in TAOCP [Sec. 1.3.3, page 176]

2	3	5	1	0	4
---	---	---	---	---	---

again it would be tempting to introduce paths, orbits, cycles, etc.

but again a universal, local invariant suffices

## many other things about Why3

- Why3+Alt-Ergo in your browser [\[http://why3.lri.fr/try/\]](http://why3.lri.fr/try/)
- Python frontend for teaching purposes
- Why3's OCaml API [\[BOOGIE 2011\]](#)
- proof by reflection [\[VSTTE 2016\]](#)  
including imperative programs [\[IJCAR 2018, next Sunday\]](#)
- extraction to C
- logical connectives **by** and **so** to encode proofs
- floating-point arithmetic [\[ARITH 2007\]](#)
- checking the consistency of our library using Coq
- preserving proofs across changes [\[VSTTE 2013\]](#)

`http://toccata.lri.fr/gallery/why3.en.html`

more than **150 verified programs**

- data structures: AVL/red-black trees, Fenwick trees, ropes, skew/binomial/pairing/Braun/leftist heaps, etc.
- algorithms: algorithm I, Tortoise and Hare, sorting, graph, etc.
- solutions to many competitions/challenges (e.g. VerifyThis)